



DT03 Rec'd PCT/PTO 15 JUL 2004

PCT

PATENT

Docket No. 865-007us

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Baird et al.  
Serial No. : 10/500,195  
Filed : June 24, 2004  
For : SYSTEMS FOR DIGITAL USERS TO MANAGE RECEIVED ANALOG...

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the attached Information Disclosure Statement w/ form PTO-1449, 43 References  
and Return Postcard along with any paper(s) referred to as being attached or enclosed and this Certificate of  
Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage  
as first-class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, P.O. Box 1450  
Alexandria, VA 22313-1450.

Respectfully submitted,

SOFER & HAROUN, L.L.P.

By: Sandria Cirillo

Sandria Cirillo

Date: 7/12/04

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PATENT



Docket No. 865-007us

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Baird et al.  
Serial No. : 10/500,195 Group Art Unit: TBA  
Filed : June 24, 2004 Examiner: Not yet assigned  
For : SYSTEMS FOR DIGITAL USERS TO MANAGE RECEIVED ANALOG INFORMATION

INFORMATION DISCLOSURE STATEMENT

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:

A:  
B:

2. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.

3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior ☐ Continuation, ☐ Divisional or ☐ Continuation-In-Part application under 37 C.F.R. §1.60, U.S. Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

4. ☒ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:

☒ 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.

☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in

an international application.

☐ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits.

5. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.

6. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):

☐ A check in the amount of \$200.00 is enclosed in payment of the fee.

☐ Charge the fee to Deposit Account No. 19-2825 . Order No. \_\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

7. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:

- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
- b. the attached petition requesting consideration of this Information Disclosure Statement; and
- c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 10 below.

8. ☐ A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

- a. ☐ 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue;
- b. ☐ 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue.
- c. The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 10 below.

9. ☐ I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56<sup>©</sup> more than three months prior to the filing of this Information Disclosure Statement.

10. ☐ A check in the amount of \$130.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(i)(1).

☐ Charge the fee due under 37 C.F.R. §1.17(i)(1) to Deposit Account No. \_\_\_\_\_ Order No. \_\_\_\_\_  
A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 19-2825. Order No.865-007us.

Respectfully submitted,

SOFER & HAROUN, L.L.P.

Dated: \_\_\_\_\_

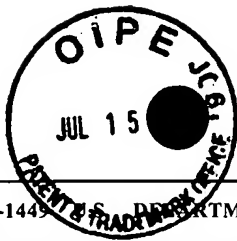
*2/14/04*

By: \_\_\_\_\_

*[Signature]*  
Joseph Sofer  
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Sheet 1 of 1

FORM PTO-1449  
U.S. DEPARTMENT OF  
COMMERCE  
(Rev.2-3) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.  
865-007us

SERIAL NO.  
10/500,195

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)

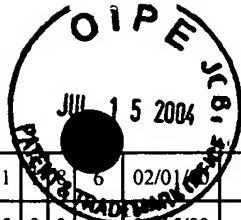
APPLICANT  
Baird et al.

FILING DATE  
June 24, 2004

GROUP  
TBA

U.S. PATENT DOCUMENTS

EXAMINE R INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5 6 0 8 8 7 4	03/04/97	Ogawa et al.	395	200.15	Apr. 8, 1995
	5 6 7 5 5 0 7	10/07/97	Bobo, II	364	514	Apr. 28, 1995
	5 6 0 8 7 8 6	03/04/97	Gordon	379	100	Feb. 13, 1995
	5 9 8 2 8 6 3	11/09/99	Smiley et al.	379	112	Aug. 27, 1997
	6 1 8 8 7 6 6	02/13/01	Kocher	380	246	Mar. 5, 1997
	5 9 0 7 5 9 8	05/25/99	Mandalia et al.	379	100.1	Feb. 20, 1997
	6 0 8 8 6 9 5	07/11/00	Kara	707	10	Sep. 17, 1996
	6 4 6 3 4 1 7	10/08/02	Schoenberg	705	2	Apr. 25, 2000
	6 0 8 2 7 7 6	07/04/00	Feinberg	283	72	May 7, 1997
	5 9 0 5 8 0 1	05/18/99	Serinken	380	51	Jun. 6, 1996
	5 9 6 9 3 2 4	10/19/99	Reber et al.	235	462.13	Apr. 10, 1997
	5 8 2 3 9 4 8	10/20/98	Ross, Jr. Et al.	600	300	Jul. 8, 1996
	US 20 02 00 18 23 6A1	02/14/02	Musk et al.	358	1.15	Aug. 9, 2001
	US 20 02 00 18 24 8A1	02/14/02	Ohhashi et al.	358	474	Aug. 14, 2001
	US 20 02 00 36 79 2A1	03/28/02	Murphy	358	1.15	Jun. 11, 2001
	6 3 3 0 0 7 9	12/11/01	Dugan et al.	358	403	Sep. 8, 1997
	Re 3 4 4 2 9	11/02/93	Baran et al.	379	100	Jan. 7, 1992
	5 6 3 1 7 4 5	05/20/97	Wong et al.	358	434	Nov. 7, 1994
	5 6 9 2 0 7 3	11/25/97	Cass	382	219	Jul. 30, 1996
	5 6 7 5 5 0 7	10/07/97	Bobo, II	364	514	Apr. 28, 1995
	5 6 9 2 0 7 3	11/25/97	Cass	382	219	Jul. 30, 1996
	5 7 5 4 3 0 8	05/19/98	Lopresti et al.	358	403	Jun. 27, 1995
	5 7 9 3 9 7 2	08/11/98	Shane	395	200.49	May 3, 1996
	5 8 3 8 4 5 8	11/17/98	Tsai	358	402	Dec. 14, 1995
	5 9 1 7 6 1 5	06/29/99	Reifman et al.	358	468	Mar. 31, 1994
	5 9 5 3 3 9 2	09/14/99	Rhie et al.	379	88.13	Mar. 1, 1996
	5 9 8 7 1 0 0	11/16/99	Fortman et al.	379	88.14	Apr. 23, 1997



		6	0	2	1	8	6	02/01/00	Suzuki et al.	379	100.12	Nov. 14, 1997
		6	0	2	5	9	3	1 02/15/00	Bloomfield	358	402	Aug. 20, 1997
		6	0	2	8	6	7	9 02/22/00	Murphy	358	407	Nov. 3, 1998
		6	1	0	4	5	0	0 08/15/00	Alam et a.	358	1.15	Apr. 29, 1998
		6	2	4	0	4	4	5 05/29/01	Kumar et al.	709	206	Apr. 24, 1998
		6	2	5	6	1	1	5 07/03/01	Adler et al.	358	442	Feb. 21, 1997
		6	3	5	6	3	5	6 03/12/02	Miller, Jr. Et al.	358	1.15	Dec. 16, 1998
		6	3	7	3	6	0	2 04/16/02	Kohler et al.	358	538	Feb. 12, 1999
		6	3	4	8	9	7	0 02/19/02	Marx	358	1.15	Apr. 29, 1998
		6	3	8	9	1	2	1 05/14/02	Terao	379	100.08	Feb. 10, 1999

#### FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
		YES	NO											
		2	2	4	4	6	2	5	04/12/91	United Kingdom	1	44	Yes	

#### OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

			International Search Report dated June 20, 2003
			The Topcall Solution- A Guide to Unified Communication Systems
			Internet Telephony dated February 2000
			iRIMS 2001 by Open Text Corporation, 2001 Summary Report
			Loris-File Nexus Digital Filing System

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.